i-Vu® Pro v7.0 Security

Rev. 7/2/2018

The i-Vu Pro server application provides a very high level of security to protect against unauthorized access. This memorandum briefly outlines design, security, configuration, and implementation aspects of your i-Vu Pro Building Automation System server application.

Given the heightened security awareness in all aspects of our society, it is not surprising that customers are concerned about whether or not the i-Vu® Pro application poses a security risk to their building network. While there is no such thing as a system that is absolutely invulnerable to hackers, the inherent design and security aspects of the i-Vu® Pro application make it extremely unlikely that anyone could gain unauthorized access to the building network through the i-Vu® system. There are several key reasons why i-Vu® Pro does not pose a security risk:

- i-Vu Pro source code is subjected to source code analysis and independent third-party penetration testing as part of our software development lifecycle process.

- All third-party libraries are scanned for known vulnerabilities.

- i-Vu Pro web server engine:
  - The i-Vu Pro server application uses its own built-in web server engine based on a locked-down version of Apache Tomcat. This greatly reduces the chance of an undiscovered Apache Tomcat vulnerability.
  - The i-Vu Pro server application does NOT use Microsoft’s IIS web server.
  - The web server renders only i-Vu Pro pages. It cannot be used as a general-purpose web server to render pages from other systems on the building network.
  - All database queries use a single internal interface that protects against common SQL injection attacks. As of v6.0, this includes Write to Database alarm actions.
  - As of v6.0, the i-Vu Pro server application no longer uses Java® Applets or Java Web Start which have been the source of Java vulnerabilities to desktop computers. While the i-Vu Pro server application no longer uses Applets or Web Start, we do recommend that customers keep their Java Runtime Environment up to date at all times.
  - As of v7.0, the i-Vu Pro server application will, by default, allow only add-on applications provided and signed by Automated Logic®. You can removed this restriction, but any add-on application not provided by Automated Logic® should be carefully reviewed for source and content before using with the i-Vu Pro server application.
• i-Vu Pro communications:
  o The i-Vu Pro server application uses the ports and protocols listed in the following table. In the Use column, Client/Server is communication between the end user’s computer and the i-Vu Pro server application. Server/i-Vu router is communications between the i-Vu Pro server application and the Ethernet network interface on a Carrier IP controller. If a firewall exists between the Client and Server or the Server and Gateway, you will need to open the following ports to enable communication.

<table>
<thead>
<tr>
<th>Port</th>
<th>Transfer</th>
<th>Protocol/User</th>
<th>Use</th>
</tr>
</thead>
<tbody>
<tr>
<td>80 (default)</td>
<td>TCP</td>
<td>http (Web server)</td>
<td>Client/Server</td>
</tr>
<tr>
<td>443 (default)</td>
<td>TCP</td>
<td>https (Web server)</td>
<td>Client/Server</td>
</tr>
<tr>
<td>47806 (default)</td>
<td>TCP</td>
<td>Alarm Notification Client</td>
<td>Client/Server</td>
</tr>
<tr>
<td>47808</td>
<td>UDP</td>
<td>BACnet/IP</td>
<td>Server/i-Vu router</td>
</tr>
<tr>
<td>47808</td>
<td>TCP</td>
<td>Diagnostic Telnet *</td>
<td>Client/Server</td>
</tr>
<tr>
<td>47812</td>
<td>UDP</td>
<td>CCN/IP</td>
<td>i-Vu CCN router/Server</td>
</tr>
<tr>
<td>50005</td>
<td>UDP</td>
<td>CCN/IP</td>
<td>Server/i-Vu CCN router</td>
</tr>
</tbody>
</table>

* This functionality is off by default. You can start it using the telnetd console command.

  o You can edit the default http and https ports in SiteBuilder and the default Alarm Notification Client port in the i-Vu Pro interface (System Options > System Settings > General > Alarms).

  o The i-Vu Pro server application does not require open ports for standard Telnet, FTP, Windows file sharing, or other applications that can increase the vulnerability of the system. The Diagnostic Telnet protocol used on port 47808 is a password-protected plain text only user interface that is limited to i-Vu Pro server application functions. This functionality is ONLY used for Tech Support purposes and should be firewalled. It is turned off by default. You can start it using the telnetd console command.

  o Built-in support for Secure Socket Layer (SSL) communications provides 128-bit encryption for all communications to ensure unauthorized 'eavesdroppers' cannot obtain passwords or other sensitive information passed between the web server and server. If needed, you can increase the encryption level to 256-bit. (See "Network Security" in i-Vu Pro Help for information on configuring SSL.) All network traffic between the i-Vu Pro server application and the browser can be encrypted using a locally created certificate. The i-Vu Pro software suite offers tools to recreate these self-signed certificates at any time, export to a third-party Certificate Authority (CA) and re-import the signed certificate. After you receive and install a signed certificate, be sure to back up the certificate and keystore for future i-Vu Pro installs.

  o IPV6 is supported between the i-Vu Pro server application and browser.
• A i-Vu Pro server with two NICs can provide additional security and easier system diagnostics. One NIC is dedicated to web page traffic, and the other to unencrypted BACnet traffic to field controllers. This separation of physical networks is the recommended best practice for building security. In this configuration, it is impossible for BACnet traffic to cross between the two networks.

• The i-Vu Pro server application is compatible with standard external security provisions such as firewalls and Virtual Private Networks (VPNs). VPNs can limit access to specific computer IDs, provide an additional layer of login/password protection, and offer alternative encryption schemes.

• The 6-02 and later drivers support BACnet whitelist functionality. You can restrict traffic to all private IP addresses and/or a list of specific IP addresses. This can also be used on an isolated network to restrict traffic to only designated BACnet devices and workstation(s) to ensure no other IP devices can tamper with BACnet controllers.

• Operator access to the i-Vu Pro server application:
  ○ i-Vu Pro password security allows operator access based on privileges set by the administrator. The advanced security policy provides further security through password character/expiration requirements and user lockouts.
  ○ Access to the i-Vu Pro server application can be restricted based on geographic assignment of operator privileges. For example, this allows persons with the same operator privileges access to different geographic areas of the system (i.e. two different rooms, floors, or buildings).
  ○ The i-Vu Pro audit log provides a detailed list of all operator actions and can be searched by operator name, date, and geography.
  ○ In a 21CFR Part 11 Pharmaceutical/Biotech validated facility, the i-Vu Pro server application can require an operator to record the reason for a change to operating conditions before accepting the change.
  ○ As of v6.0, operator passwords are "salted" and "hashed" using SHA512 and therefore cannot be reversed-engineered and are not exposed if the i-Vu Pro database is compromised. This also means that Carrier cannot help recover lost passwords.
  ○ As of v6.0, passwords that the i-Vu Pro server application uses to access other systems use AES-128 bit encryption. This includes database passwords, and Email and Write to Database alarm action passwords.

• As of v7.0, all patches to the i-Vu Pro software are signed for authentication and to prevent tampering.

• After installing the i-Vu Pro software using administrator access, you can minimize risk to the server by running the software using a second non-administrator account. For Windows this non-administrator account must be given "Full Control" to the installation directory so that the software may run properly and apply patches. This account should also be used when run as a service. This can be specified in the "Log On" properties dialog for the i-Vu Pro service inside the "services" application in the Windows Control Panel. If other accounts are used to run the software (e.g. engineering tools), those accounts must also be granted full permissions to the installation directory.
• Database servers should be configured to allow access only by the i-Vu Pro server application and tools. This can be done through firewall protection and any other database-specific mechanism that limits access to specific hosts. As of v7.0, SQL Server with SSL is supported.